

Section 3

TRANSMITTAL LETTER			
Signal No. 109/820,091	Filing Date March 28, 2001	Examiner Not Assigned	Case No. 2817/228 Group Art Unit 3711
Inventor(s) Kenneth W. Nimmons			
Title of Invention BASEBALL BASE WITH IDENTIFICATION			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmitted herewith is Notice To File Missing Parts of Nonprovisional Application - Filed Under 37 CFR 1.53(b) - Filing Date Granted; Declaration For Patent Application; Assignment Cover Sheet; Assignment; Power Of Attorney, and post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☒ A statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

				Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	
Indep.		Minus			x \$40 =		x \$80 =	
First Presentation of Multiple Dep. Claim					+ \$135 =		+ \$270 =	
					Total add'l fee	\$	total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$519.00 to cover the filing fee of \$355.00, eleven (11) extra total claims of \$99.00, and surcharge of \$65.00 is enclosed.
- ☒ A check in the amount of \$40.00 to cover the assignment fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

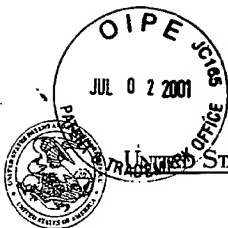
 John C. Freeman
 Registration No. 34,483
 Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: The Commissioner for Patents, Washington, D.C. 20231, on June 27, 2001.

Date: June 27, 2001 Signature: J. L. Free

#3

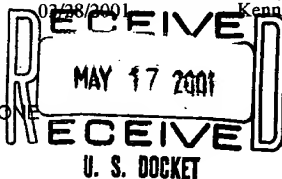


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	INVENTOR NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/820,091	BRINKS HOFER GILSON & LIONE Kenneth W. Nimmons	2817/228

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
Chicago, IL 60610



CONFIRMATION NO. 2535

FORMALITIES LETTER



OC000000006061686

Date Mailed: 05/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/09/2001 EEKUBAY1 00000070 09820091

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:203	99.00 OP
03 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

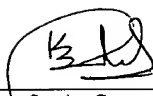
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$198.
 ▪ **\$198** for 11 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1038.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

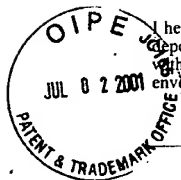
A copy of this notice MUST be returned with the reply.

A handwritten signature, possibly reading 'K. L.', enclosed within a hand-drawn oval.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

The Commissioner for Patents
Washington, D.C. 20231
on June 27, 2001

Date of Deposit

John C. Freeman

Name of applicant, assignee or
Registered Representative

John C. Freeman

Signature

June 27, 2001

Date of Signature

43

Case No. 2817/228

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kenneth W. Nimmons

Serial No: 09/820,091

Examiner: Not Assigned

Filed: March 28, 2001

Group Art Unit: 3711

For: BASEBALL BASE WITH
IDENTIFICATION

STATEMENT CLAIMING SMALL ENTITY STATUS

The Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The undersigned hereby asserts that the above-mentioned application is assigned to HOS Development Corporation, which qualifies for small entity status.

Respectfully submitted,

Dated: June 27, 2001

John C. Freeman

John C. Freeman
Registration No. 34,483
Attorney for Applicant

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